Form PTO-1449 (modified)

List of Patents and Publications for Applica

INFORMATION DISCLOSURE STATEMENT

Atty. Docket No. UTSD:795US/SLH

Hongtao Yu et al.

Serial No. 09/845,612

Applicant

RECEIVED

(Use several sheets if necessary)

Filing Date: April 30, 2001 Group: 1646

U.S. Patent Documents See Page 1

Foreign Patent Documents See Page 1

Other Art CENTER 1600/2900 See Page 2

U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name Clas		Sub Class	Filing Date of App.	
STH	A1	4,418,068	11/29/83	Jones	424	267	12/16/81	
SDH	A2	4,664,911	5/12/87	Uhr, et al.	424	85	6/21/83	
SOH	A 3	4,792,447	12/20/88	Urh, et al.	424	395	5/27/83	
554	A4	4,870,287	9/26/89	Cole, et al.	250	492.3	3/3/88	
SOM	A5	5,045,451	9/3/91	Uhr, et al.	435	7.23	10/26/88	
SOFH	A 6	5,220,007	6/15/93	Pederson, et al.	Pederson, <i>et al.</i> 536 23.1		2/19/92	
554	A7	5,279,721	1/18/94	Schmid	204	182.8	4/22/93	
STH	A8	5,284,760	2/8/94	Feinstone, et al.	435	172.3	9/23/91	
2214	A9	5,354,671	10/11/94	Pollock	435	101	6/26/92	
2294	A10	5,366,878	11/22/94	Pederson, et al.	435	91.3	3/24/93	
2291	A11	5,578,706	11/26/96	Ghetie, et al. 530 391.7		391.7	11/4/93	
2011	A12	5,635,377	6/3/97	Pederson, et al. 435 91.3		11/18/94		
214	A13	5,712,097	1/27/98	Kern, et al. 435 6		1/19/96		
2341	A14	5,760,395	6/2/98	Johnstone 250 306		4/18/96		
STH	A15	5,767,072	6/16/98	Vitetta, et al. 514 12		12	12/21/93	
5521	A16	5,789,166	8/4/98	Bauer, et al.	435	6	12/8/95	
STH	A17	5,798,208	8/25/98	Crea	435	6	11/2/92	

Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
SSH	B1	EP 273085	7/6/88	Europe	-		
SIM	B2	WO 01/00825	1/4/01	PCT			
Soll	В3	WO 84/03564	9/13/84	PCT			

25067434.1

EXAMINER:

DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

Form PTO-1449 (modified) Atty. Docket No. Serial No. SEP 0 5 2001
1646

Group: TECH CENTER 1600/2900 SEP 0 4 2001 UTSD:795US/SLH List of Patents and Publications for Applican **Applicant** Hongtao Yu et al. INFORMATION DISCLOSURE 5 Filing Date: (Use several sheets if necessary) April 30, 2001 **U.S. Patent Documents Foreign Patent Documents** See Page 1 See Page 2 See Page 1

Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
SJH	B4	WO 88/10315	12/29/88	PCT			
5571	B5	WO 89/06700	7/27/89	PCT			
SIN	В6	WO 90/07641	7/12/90	PCT			

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation						
SIM	Cl	Abrieu <i>et al.</i> , "CENP-E as an Essential Component of the Mitotic Checkpoint In Vitro," <i>Cell</i> , 102:817-826, 2000.						
SIH	C2	Basu <i>et al.</i> , "Mutations in the Essential Spindle Checkpoint Gene <i>bub1</i> Cause Chromosome Missegregation and Fail to Block Apoptosis in Drosophila," J <i>Cell Biol</i> , 146:13-28, 1999.						
5521	C3	Burke, "Complexity in the Spindle Checkpoint," Curr Opin Genet Dev, 10:26-31, 2000.						
STA	C4	Tahill et al., "Mutations of Mitotic Checkpoint Genes in Human Cancers," Nature, 392:300-03 (1998).						
SJH	C5	Chan <i>et al.</i> , "Characterization of the Kinetochore Binding Domain of CENP-E Reveals Interactions with the Kinetochore Proteins CENP-F and hBUBR1," <i>J Cell Biol</i> , 143(1):49-63, 1998.						
SIH	C6	Chan et al., "Human BUBR1 Is a Mitotic Checkpoint Kinase that Monitors CENP-E Functions at Kinetochores and Binds the Cyclosome/APC," <i>J Cell Biol</i> , 146(5):941-954, 1999.						
SIH	C7	Chen et al., "Spindle Checkpoint Protein Xmad1 Recruits Xmad2 to Unattached Kinetochores," J Cell Biol, 143(2):283-295, 1998.						
SJH	C8	Chen <i>et al.</i> , "The Spindle Checkpoint of Budding Yeast Depends on a Tight Complex between the Mad1 and Mad2 Proteins," <i>Mol Biol Cell</i> , 10:2607-2618, 1999.						
JAC	C9	Clarke et al., "Checkpoints Controlling Mitosis," Bioessays, 22:351-363, 2000.						
5571	C10	Dobles <i>et al.</i> , "Chromosome Missegregation and Apoptosis in Mice Lacking the Mitotic Checkpoint Protein Mad2," <i>Cell</i> , 101:635-645, 2000.						

25067434.1

DATE CONSIDERED: EXAMINER:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609: DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

Form PTO-1449 (modified) List of Patents and Publications for Applican

INFORMATION DISCLOSURE ST

Atty. Docket No. Serial No. UTSD:795US/SLH 09/845,612

Applicant Hongtao Yu et al.

(Use several sheets if necessary)

Filing Date: April 30, 2001 Group: 1646

TECH CENTER 1600/2900

U.S. Patent Documents

Foreign Patent Documents

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Other Art

See Page 2 See Page 1 See Page 1

Exam. Init.	Ref. Des.	Citation
STH	C11	Fang <i>et al.</i> , "The checkpoint protein MAD2 and the mitotic regulator CDC20 form a ternary complex with the anaphase-promoting complex to control anaphase initiation," <i>Genes Dev</i> , 12:1871-1883, 1998.
SIH	C12	Fang <i>et al.</i> , "Direct Binding of CDC 20 Protein Family Members Activates the Anaphase-Promoting Complex in Mitosis and G1," <i>Mol Cell</i> , 2:163-171, 1998.
SIN	C13	Gardner <i>et al.</i> , "The Spindle Checkpoint: Two Transitions, Two Pathways," <i>Trends Cell Biol</i> , 10:154-158, 2000.
SJY	C14	Hardwick <i>et al.</i> , "MAD3 Encodes a Novel Component of the Spindle Checkpoint which Interacts with Bub3p, Cdc20p, and Mad2p," <i>J Cell Biol</i> , 148(5):871-882, 2000.
SOH	C15	Howell <i>et al.</i> , "Visualization of Mad2 Dynamics at Kinetochores, along Spindle Fibers, and at Spindle Poles in Living Cells," <i>J Cell Biol</i> , 150(6):1233-1249, 2000.
2271	C16	Hwang et al., "Budding Yeast Cdc20: A Target of the Spindle Checkpoint," Science, 279:1041-1044, 1998.
STY	C17	Jablonski <i>et al.</i> , "The hBUB1 kinases sequentially assemble onto kinetochores during prophase with hBUBR1 concentrating at the kinetochore plates in mitosis," <i>Chromosoma</i> , 107:386-396, 1998.
22H	C18	Jin et al., "Human T Cell Leukemia Virus Type 1 Oncoprotein Tax Targets the Human Mitotic Checkpoint Protein MAD1," Cell, 93:81-91, 1998.
354	C19	Kim et al., "Fission Yeast Slp1: An Effector of the Mad2-Dependent Spindle Checkpoint," Science, 279:1045-1047, 1998.
55H	C20	Lee <i>et al.</i> , "Mitotic Checkpoint Inactivation Fosters Transformation in Cells Lacking the Breast Cancer Susceptibility Gene, Brca2," <i>Mol Cell</i> , 4:1-10, 1999.
22H	C2,1	Martinez-Exposito et al., "Retention of the Bub3 Checkpoint Protein on Lagging Chromosomes," Proc Nati Acad Sci USA, 96:8493-8498, 1999.
5JH	C22	Shah <i>et al.</i> , "Waiting for Anaphase: Mad2 and the Spindle Assembly Checkpoint," <i>Cell</i> , 103:997-1000, 2000.
SJM	C23	Taylor <i>et al.</i> , "Kinetochore Localization of Murine Bubí Is Required for Normal Mitotic Timing and Checkpoint Response to Spindle Damage," <i>Cell</i> , 89:727-735, 1997.

25067434.1

EXAMINER:

DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

	21.67		Page 4 of 4
	P 0 4 2001 &	Atty. Docket No. UTSD:795US/SLH	Serial No. 09/845,612 PC
List of Patents and Publications for	r Applicant	Applicant	
List of Patents and Publications for Information Disclosure S	RADEMARKOT TATEMENT	Hongtao Yu et al.	SEP U > 2001
(Use several sheets if necess	ary)	Filing Date: April 30, 2001	Group: TECH CENTER 1600/290
U.S. Patent Documents Foreign Pa		Patent Documents	Other Art
Con Daga 1		laa Paga 1	See Page 2

Other Art (Including	Author.	Title.	Date F	Pertinent	Pages.	Etc.)
O C. 1 O 1 1 1 C	11101001119	, , ,	,		0161110116	. ~9~~;	

Exam. Init.	Ref. Des.	Citation
S234	C24	Taylor <i>et al.</i> , "The Human Homologue of Bub3 Is Required for Kinetochore Localization of Bub1 and a Mad3/Bub1-related Protein Kinase," <i>J Cell Biol</i> , 142(1):1-11, 1998.
5074	C25	Yao et al., "CENP-E Forms a Link between Attachment of Spindle Microtubules to Kinetochores and the Mitotic Checkpoint," Nat Cell Biol, 2:484-491, 2000.

25067434.1

EXAMINER:

DATE CONSIDERED: